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<b>TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT</b> (Under 37 CFR 1.97(b) or 1.97(c))					Docket No. 40197-00536	
In Re Application Of <b>HEIM, Warren P.</b>						
Application No. 10/714,020		Filing Date November 14, 2003	Examiner Not Yet Assigned	Customer No. 25231	Group Art Unit 3736	Confirmation No. 1977
Title: <b>DIAGNOSTIC SIGNAL PROCESSING METHOD AND SYSTEM</b>						
<p>Address to: Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450</p> <p><b>37 CFR 1.97(b)</b></p> <p>1. <input checked="" type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.</p> <p><b>37 CFR 1.97(c)</b></p> <p>2. <input type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:</p> <p><input type="checkbox"/> the statement specified in 37 CFR 1.97(e);</p> <p><b>OR</b></p> <p><input type="checkbox"/> the fee set forth in 37 CFR 1.17(p).</p>						

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
40197-00536

In Re Application: **HEIM, Warren P.**

Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
10/714,020	November 14, 2003	Not Yet Assigned	25231	3736	1977

**DIAGNOSTIC SIGNAL PROCESSING METHOD AND SYSTEM**

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Kent A. Fisch  
Signature

Dated: March 4, 2005

Kent A. Fischmann, Esq.  
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CC:

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



In Re the Application of:

HEIM, Warren P.

Serial No.: 10/714,020

Filed: November 14, 2003

Confirmation No.: 1977

Atty. File No.: 40197-00536

For: "DIAGNOSTIC SIGNAL  
PROCESSING METHOD AND  
SYSTEM"

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Pursuant to Applicant's duty of disclosure under 37 CFR § 1.56 and 37 CFR §§ 1.97-1.98, Applicant hereby provides a copy of each of the documents identified on the enclosed PTO Form 1449, although Applicant does not admit that any of such documents, alone or in any combination, is considered to be material to patentability as defined in 37 CFR § 1.56(b). Moreover, the inclusion of these documents is not to be construed as an admission by Applicant that each such document is prior art as to the above-identified application.

Respectfully submitted,

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By: Kent A. Fischmann

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Date: March 4, 2005

) Group Art Unit: 3736  
)  
) Examiner: Not Yet Assigned  
)

SUPPLEMENTAL INFORMATION  
DISCLOSURE STATEMENT

<p>CERTIFICATE OF SHIPPING BY FEDERAL EXPRESS</p> <p>I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING DEPOSITED WITH FEDERAL EXPRESS DELIVERY SERVICE FOR SHIPMENT BY FED EX EXPRESS SAVER - USA AIRBILL 8385 0326 4601 - IN A BOX ADDRESSED TO UNITED STATES PATENT AND TRADEMARK OFFICE, CUSTOMER SERVICE WINDOW, RANDOLPH BUILDING, 401 DULANY STREET, ALEXANDRIA, VA 22314, ON MARCH 4, 2005.</p> <p>MARSH FISCHMANN &amp; BREYFOGLE LLP</p> <p>BY: <u>Bobby D. Simon</u> Bobby D. Simon</p>
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# **INFORMATION DISCLOSURE CITATION**

(Use several sheets if necessary)

ATTY DOCKET NO.  
40197-00536

SERIAL NO.  
10/714,020

FILING  
November 14, 2003

GROUP  
1662

## **U.S. PATENT DOCUMENTS**

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
A.	WO2004/046877A3	June 3, '04	HEIM, Warren P.	A61B	8/00	

## **FOREIGN PATENT DOCUMENTS**

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO

## **OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)**

001	Boor, C. A Practical Guide to Splines. Springer-Verlag: New York, 1978. Pages xi-3, 108-115, 136-139, 154-161, 165-169, 235-239, 270-273.
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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